



Thornburg Selects SS&C to Support Its ETF Share Class Structure

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WINDSOR, Conn.--(BUSINESS WIRE)--Oct. 13, 2026-- [SS&C Technologies Holdings, Inc.](#) (Nasdaq: SSNC) today announced that Thornburg Investment Management, a global investment firm with USD 62 billion¹ in assets, has selected SS&C to support its exchange-traded fund (ETF) share class structure.

Earlier this year, Thornburg became one of the first firms to receive exemptive relief to launch actively managed ETF share classes for two of its mutual funds: Thornburg American Opportunities Fund (Nasdaq: TAOZ) and Thornburg Focus Growth Fund (Nasdaq: TFGZ). The offerings launched in April, expanding the roster of Thornburg ETFs that today have more than \$860 million in assets. SS&C will provide operational oversight, calculation, reconciliation, shareholder coordination and conversion processing.

SS&C also provides an extensive suite of services for Thornburg, including [AI-supported distribution solutions](#), investor services, ETF distribution, and mutual fund transfer agency. Comprehensive workflow support includes exception resolution and integration with key market infrastructures, ensuring accurate processing and consistent execution across ETF conversion activity.

"We are pleased to collaborate with SS&C to support the continued growth of our ETF share class solutions," said Richard Kuhn, Head of Product at Thornburg. "Having the transfer agency functions for the ETF share classes on the same platform as our mutual funds will help us streamline operations, enhance efficiency and support growing investor demand."

"We are proud to partner with Thornburg to deliver a flexible and scalable solution for managing ETF share classes and we are excited to be part of the next stage of the industry's evolution," said Rob Trower, Head of SS&C Global Investor & Distribution Solutions, U.S. "As industry leaders bring these innovative offerings to market, SS&C is committed to delivering scalable, flexible solutions."

Learn more about SS&C's ETF services [here](#).

About Thornburg Investment Management

Thornburg Investment Management ("Thornburg") is an active, high-conviction manager of equities, fixed income, multi-asset and alternative solutions. As a privately owned firm with \$62 billion in client assets (includes \$60 billion in assets under management and \$2 billion in assets under advisement) as of August 31, 2026, Thornburg serves institutions, financial professionals and investors worldwide. The firm offers mutual funds, ETFs, closed-end funds, separate accounts and UCITS funds. Thornburg was founded in 1982 and is headquartered in Santa Fe, New Mexico with additional offices in Hong Kong and London. For more information, visit www.thornburg.com or call 877-215-1330.

About SS&C Technologies

SS&C is a leading provider of mission-critical, AI-powered technology and services that help financial services and healthcare organizations operate smarter, faster, and more securely. Founded in 1986, SS&C is headquartered in Windsor, Connecticut, and has offices worldwide. More than 23,000 financial services and healthcare organizations, from the world's largest companies to small and mid-market firms, rely on SS&C for expertise, scale and technology.

Additional information about SS&C (Nasdaq: SSNC) is available at www.ssctech.com.

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Important Information

Before investing, carefully consider the Fund's investment goals, risks, charges, and expenses. For a prospectus or summary prospectus containing this and other information, contact your financial advisor or visit thornburg.com. Read them carefully before investing.

The Fund offers both mutual fund share classes and an ETF class of shares. The ETF class shares are listed and traded on a national securities exchange and are not individually redeemable, unlike the mutual fund class shares. An investment in the ETF class shares is not an investment in a mutual fund, and an investment in the mutual fund class shares is not an investment in an ETF.

The Advisor and certain Portfolios have received an exemptive order from the Securities and Exchange Commission permitting these Portfolios to offer both a mutual fund share class and an exchange-traded share class that operates as an ETF. Under this structure, the ETF class shares are listed and traded on a national securities exchange and are generally bought and sold at market-determined prices, whereas the mutual fund share classes are purchased and

redeemed at the Portfolio's net asset value next determined after receipt of the order. Because all of the classes of a multi-class Portfolio are based on the same portfolio, transactions through one class could generate portfolio transaction costs and tax consequences for shareholders in other classes. In addition, shareholders of the mutual fund and ETF classes of a multi-class Portfolio will have differing shareholder rights with respect to exchange privileges, how shares are purchased and redeemed, the timing of dividend declarations and payments, and the timing and ability to automatically reinvest dividends.

For additional information regarding these differences, please see the applicable multi-class Portfolio's prospectus. Each Fund's ETF Class shares are listed and traded on a national securities exchange and, unlike the Fund's mutual fund class shares, are not individually redeemable. In addition to ETF Class shares, the Fund offers one or more classes of mutual fund shares. An investment in the Fund's ETF Class shares is not an investment in a mutual fund.

Tax efficiency benefits associated with ETF Class shares are not guaranteed and may vary based on market conditions, portfolio activity, and shareholder transactions.

The Funds are actively managed and does not seek to replicate the performance of a specified index.

Expenses differ between the Fund's ETF Class shares and mutual fund share classes.

Risks associated with investing in the Fund's ETF Class shares may include, but are not limited to: (1) Investment Adviser Risk, which is the risk that the Adviser's investment decisions may not produce the intended results. (2) Derivatives Risk, as the Funds' use of derivatives—including futures, options, swaps, and forward contracts—may expose them to risks related to the underlying instruments as well as additional risks such as counterparty default, liquidity constraints, valuation difficulties, and potential delays in closing positions.

Investments carry risks, including possible loss of principal. Additional risks may be associated with investments outside the United States, especially in emerging markets, including currency fluctuations, illiquidity, volatility, and political and economic risks. Investments in small- and mid-capitalization companies may increase the risk of greater price fluctuations.

Additional risks associated with investing in TAOZ and TFGZ may include: (1) Equity Risk, (2) Risks Affecting Specific Countries or Regions, (3) Market and Economic Risk, and (4) Small and Mid-Cap Company Risk. Additional risks associated with investing in TFGZ may include: (1) Growth Company Risk.

More information regarding the risks associated with investing in Thornburg ETFs can be found in the prospectus for each ETF.

Please see our glossary for a definition of terms.

The Fund's ETF Class shares are distributed by ALPS Distributors, Inc.

¹ Includes \$60 billion in assets under management and \$2 billion in assets under advisement as of August 31, 2026.

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